DE-NOTIFICATION LETTER UCITS / COMPARTMENT(S) AND / OR SHARE CLASS(ES)

for the purpose of de-notifying the marketing arrangements of a UCITS/compartment(s) and/or share class(es) of a Luxembourg undertaking for collective investment in transferable securities (“UCITS”) in respect of which a notification for marketing has been made to the CSSF in accordance with Article 93 of the Directive 2009/65/EC as transposed by Article 54 of the Law of 2010 (“UCI Law”).

# Section A

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| De-notification of (a) UCITS/compartment(s) and/or share class(es) in (host Member State): |
| Insert text. |
| Name of the UCITS: |
| Insert text. |
| UCITS home Member State: |
| Insert text. |
| Legal form of the UCITS:  (Please tick appropriate box) |
| common fund (FCP)  investment company |
| Name of the UCITS management company/self-managed investment company: |
| Insert text. |
| UCITS management company’s home Member State: |
| Insert text. |
| Address of registered office/domicile: |
| Insert text. |
| Details of UCITS management company’s/self-managed investment company’s website: |
| Insert text. |
| Details of contact person at the UCITS management company / self-managed investment company: |
| Name: Insert text.  Position: Insert text.  Telephone number: Insert text.  Email address: Insert text. |

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| De-notification of the UCITS/compartment(s) only: | | | |
| Name of the compartment(s) to be de-notified (indicate “N/A” if UCITS with no compartments) | LEI code of the UCITS - compartment(s) | Date of application of de-notification of marketing arrangements | Name, ISIN and CSSF identifiers of share classes  (UCITS/Sub-fund/ShareClass – e.g. XNNNNN / CCC / PPP) |
| Insert text. | Insert text. | Select date. | Insert text. |
| Insert text. | Insert text. | Select date. | Insert text. |
| Insert text. | Insert text. | Select date. | Insert text. |
| Insert text. | Insert text. | Select date. | Insert text. |
| Insert text. | Insert text. | Select date. | Insert text. |

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| De-notification of the UCITS share class(es) only: | | | | |
| Name of the compartment(s) | LEI code of the UCITS - compartment(s) | Date of application of de-notification of marketing arrangements | Name and CSSF identifier of the share class(es) to be de-notified (UCITS/Sub-fund/ShareClass – e.g. XNNNNN / CCC / PPP) | ISIN of the share class(es) |
| Insert text. | Insert text. | Select date. | Insert text. | Insert text. |
| Insert text. | Insert text. | Select date. | Insert text. | Insert text. |
| Insert text. | Insert text. | Select date. | Insert text. | Insert text. |
| Insert text. | Insert text. | Select date. | Insert text. | Insert text. |
| Insert text. | Insert text. | Select date. | Insert text. | Insert text. |

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| Additional information: |
| Insert text. |

**NB: For a non-voluntary de-notification of the marketing arrangements for the relevant UCITS/compartment(s)/share class(es) in case of a life-cycle event, i.e. in case of termination, liquidation, merger or at the end of a limited term of such UCITS/ compartment(s)/share class(es), section B does not apply and does not need to be completed.**

**Please indicate the reason for the non-voluntary de-notification in the “Additional information” section above and furthermore, ensure that the letter is duly completed and signed under Section C.**

# Section B

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| The UCITS management company/self-managed investment company hereby confirms that the conditions required for the de-notification of the marketing arrangements of the UCITS/ compartment(s)/share class(es) under Article 93a(1)(a) to (c) of Directive 2009/65/EC as transposed by Article 54-1(1)(a) to (c) of the UCI Law have been complied with: |
| Yes  No |
| Indication of the publicly available medium where the intention to terminate marketing arrangements for the relevant UCITS/compartment(s)/share class(es) is made public pursuant to Article 93a(1)(b) of Directive 2009/65/EC as transposed by Article 54-1(1)(b) of the UCI Law: |
| Insert text. |
| Confirmation as to whether investors in the host Member State where de-notification takes place are still invested in the relevant UCITS/compartment(s)/share class(es) at the time of de-notification: |
| Yes  No |
| If the foregoing is answered with **YES**, the UCITS management company/self-managed investment company hereby confirms that the conditions under Article 93a(4) of Directive 2009/65/EC as transposed by Article 54-1(4) of the UCI Law shall be complied with for as long as investors in the host Member State remain invested in the relevant UCITS compartment(s)/share class(es): |
| Yes  No |
| The UCITS management company/self-managed investment company hereby confirms that it will inform the CSSF by email to luucits-upd@cssf.lu as soon as the relevant UCITS share class(es) shall cease to have investors in the host Member State: |
| Yes  No |

# Section C

The de-notification letter shall be signed by an authorised signatory of the UCITS management company or the self-managed investment company or a third person empowered by a written mandate to act on behalf of the notifying entity. The signatory shall state his/her full name and capacity, and shall ensure the confirmation is dated.

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| --- | --- |
| Signature: | |
| Date: | Select date. |
| Last name: | Insert text. |
| First name: | Insert text. |
| Company: | Insert text. |
| Position: | Insert text. |
| Phone: | Insert text. |
| Email address: | Insert text. |